



THE REPUBLIC OF MOZAMBIQUE

MINISTRY OF PUBLIC WORKS, HOUSING AND WATER RESOURCES,



Mozambique Urban Water Security Project (P509890)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

[Appraisal/ Negotiated Version]

April 2025

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Mozambique (the Recipient) through the Ministry of Economy and Finance and Ministry of Public Works, Housing, and Water Resources (MOPHRH) will implement the Mozambique Urban Water Security Project (UWSP) (the Project), with the involvement of the Water Supply Asset Holding and Investment Fund (FIPAG), Directorate of Water Supply and Sanitation (DNAAS), Water Regulatory Authority (AURA), and South Region Regional Waters Administration (ARA-Sul) who will be responsible for implementing various components, consistent with their respective mandates., as set out in the Financing Agreement (the Agreement). Specifically, FIPAG will lead the implementation of water supply infrastructure and performance improvement activities, while DNAAS will oversee activities related to Private Water Providers (PWPs), regulatory strengthening activities benefiting AURAS, the project's water resources management (WRM) and watershed components benefiting ARA-Sul. The International Development Association (the Bank), has agreed to provide financing for the Project, as set out in the Agreement.
2. The Republic of Mozambique / Government of Mozambique (GoM) shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the GoM through the MOPHRH shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. The said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreement, the Recipient shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance during implementation. In such circumstances, the Bank and the Recipient agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the National Director DNAAS under the MOPHRH. The Recipient through the implementing Agencies FIPAG, AURA, ARA-Sul and DNAAS shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT			
A	<p>ORGANIZATIONAL STRUCTURE</p> <p>a) Establish and maintain a Project Implementation Unit (PIU) within FIPAG with qualified staff and resources to support management of environmental, social, health and safety (E&S) risks and impacts of the Project including an Environmental Health & Safety Specialist, and a Social Specialist. FIPAG shall recruit a Social Specialist to fill the current gap as well as an Environmental Advisor to support all the institutions involved from FIPAG. DNAAS will host a second PIU, while the beneficiary institutions, AURA and ARA-Sul, will each host Project Technical Units (PTUs) responsible for implementing institution-specific activities, under the coordination and oversight of the PIU. DNAAS will make use of the PIU currently serving the Rural and Small Towns Water Security Project (<i>Água Segura</i>, P173518).</p> <p>b) Engage Supervision Consultant with sufficient ESHS staffing, having at least One Environmental Specialist with expertise to undertake Health and Safety Management, One Social Specialist, all hired on a full-time basis throughout project implementation.</p> <p>c) Contractors will be required to have an Environmental Specialist, Social Development Specialist, and a Health and Safety Specialist, all hired on a full-time basis throughout project implementation.</p>	<p>a. Establish a PIU at FIPAG, recruit a Social Specialist, maintain DNAAS PIU, and set up PTUs, all having qualified ESHS staff as set out in the Project Appraisal Document, within 3 months of Project's Effectiveness and thereafter maintain the specified structures and positions throughout Project implementation.</p> <p>b. During the tendering process and/ or before contract kick-off meeting but onboarding no later than 30 days after contract effectiveness.</p> <p>c. During the tendering process and/ or before contract kick-off meeting but onboarding no later than 30 days after contract effectiveness</p>	<p>FIPAG DNAAS ARA-Sul AURA</p> <p>FIPAG DNAAS ARA-Sul Supervising Consultants Contractors</p>

B	<p>CAPACITY BUILDING PLAN/MEASURES</p> <p>Training will be required for the FIPAG, DNAAS, AURA, ARA-Sul (Environment and Social), Local leaders, Contractors, entities responsible for Operation and Maintenance Phase of Project subprojects, and community members on:</p> <ul style="list-style-type: none"> • Stakeholder mapping and engagement. • Specific aspects of environmental and social assessment and monitoring of the ESIAs/ ESMP and ESMF implementation. • Occupational health and safety. • Community Health and Safety. • Codes of conduct for project workers. • Traffic Management Plan. • Waste Management • Emergency preparedness and response. • Gender mainstreaming in construction works. • Combatting, and monitoring of HIV/AIDS. • Combat pandemic diseases such as COVID 19. • Contractor and Operator management on environment and social risks. • Grievances management implementation and monitoring. • Land acquisition and resettlement. • Gender-based violence/Sexual Exploitation and Abuse. • Labor and Working Conditions, including employer compliance with national labor laws, and combatting child labor and forced/trafficked labor. • Resettlement and Livelihood Restoration. <p>Note: Only those applicable to some of the participants</p> <p>Specific training for Project workers on preparation of occupational health and safety measures including emergency preparedness and response arrangements according to ESSs. At the bare minimum, the following topics shall be covered during workers' training:</p> <ul style="list-style-type: none"> • Health Safety Management Plan, including OHS risk assessment, Emergency Response Plans, Road Safety, Root Cause Analysis. • Construction site induction training. 	<p>Within 2 months after Project effectiveness and thereafter undertake refresher training on a quarterly basis.</p>	<p>FIPAG DNAAS AURA ARA-Sul AdRMM AdRS Districts Contractors Supervision Consultants</p>
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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> • Code of Conduct for Workers. • GBV/SEA/SH awareness, prevention, and response. • Labor and working conditions, including employer compliance with national labor laws, and combatting child labor and forced/trafficked labor. • Functioning of the grievance mechanisms. 		
MONITORING AND REPORTING			
C	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (E&S) performance of the Project. The reports shall include:</p> <ul style="list-style-type: none"> • Status of preparation and implementation of E&S documents required under the ESCP. • Summary of stakeholder engagement activities carried out as per the Stakeholder Engagement Plan. • Complaints submitted to the grievance mechanism(s), the grievance log, and progress made in resolving them. • E&S performance of contractors and subcontractors as reported through monthly contractors' and supervision firms' reports. • Number and status of resolution of incidents and accidents reported under action E below. • [specify other aspects that the reporting would need to consider, as relevant, for example reports must be supported by photography as applicable]. 	Submit quarterly/semestral and annual reports to the Bank throughout Project implementation, commencing the first quarter after Project Effectiveness. Submit each report to the Bank no later than 15 days after the end of each reporting period.	FIPAG ARA-Sul DNAAS AURA Contractors Supervising Consultants
D	<p>CONTRACTORS' MONTHLY REPORTS</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the World Bank. The reports shall cover, <i>inter alia</i>:</p>	Submit the Monthly reports to the World Bank upon request as annexes to the reports to be submitted under action C above.	FIPAG DNAAS AURA ARA-Sul

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> Environmental and social performance reports. Status of implementation of Contractor's ESMP (C-ESMP). ESHS incidents. ESHS supervision. Usage of Personal Protective Equipment (PPE). Training conducted, and workers participation. Workers' grievances log; and Community grievances log. 		Contractors Supervising Consultants
E	<p>INCIDENTS AND ACCIDENTS</p> <p>Notify the Bank of any incident or accident relating to the project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Bank upon request.</p> <p>Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Bank, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident. Provide available details upon request.</p> <p>Provide review report and Corrective Action Plan to the Bank no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Bank.</p>	FIPAG ARA-Sul DNAAS AURA Contractors Supervising Consultants
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND/OR PLANS</p> <p>1. Prepare and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs that sets the principles and processes of identifying environmental and social risks and impacts of different investments under the project and includes a Gender Based Violence Action Plan.</p>	<p>1. Prepare and disclose ESMF prior to Project Appraisal and implement it throughout the project implementation period.</p>	FIPAG DNAAS AURA ARA-Sul

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>2. Prepare environmental and social instruments (per the requirements of the ESMF) for all the infrastructure subprojects consistent with the relevant ESSs. The proposed activities described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</p> <p>3. Contractors in close collaboration with the Consultants and Implementing agencies (FIPAG, ARA-Sul, AURA, DNAAS) will adopt and implement the subproject Environmental and Social Impact Assessments (ESIAs)], RPF and [site-specific Environmental and Social Management Plan/s (ESMP)], including site-specific E&S technical specifications for the subprojects to be included in the Standard Procurement Documents for works, based on the respective ESMP, as set out in the ESMF.</p>	<p>2. Environmental and social instruments should be cleared before the start of bidding process of correspondent sub-projects. Adopt the environmental and social instruments and thereafter implement them throughout Project implementation.</p> <p>3. Adopt the ESMP before launching the bidding process and/or update ESMP prior to the carrying out of subproject construction phase that requires the adoption of such ESMP. Once adopted, implement the respective ESMP throughout Project implementation.</p>	<p>FIPAG DNAAS AURA ARA-Sul AdRMM AdRS Districts Contractors Supervision Consultants</p>
1.2	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia the relevant E&S assessments or plans, the Labor Management Procedures, and code of conduct, into the E&S specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Bank.</p>	<p>As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation. Copies of relevant contracts provided to the Bank upon request.</p>	<p>FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants</p>
1.3	<p>TECHNICAL ASSISTANCE</p> <p>Carry out the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project including, inter alia, preparation of ESMF, RPF, LMP, ESIAs, SEP, RAPs, are carried out in accordance with terms of reference acceptable to the [Bank/Association], that are consistent with the ESSs. Thereafter prepare and finalize the outputs of such activities in compliance with the terms of reference.</p>	<p>Throughout Project implementation.</p>	<p>FIPAG DNAAS AURA ARA-Sul</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES Prepare and implement the Labor Management Procedures (LMP) for the Project.	Prepare the LMP prior to Project Appraisal and thereafter implement the LMP throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
2.2	OCCUPATIONAL HEALTH AND SAFETY MANAGEMENT PLAN Require contractors and subcontractors to prepare and implement OHS Management Measures or Plan in accordance with the guidance provided in the UWSP ESMF, as part of the Contractor's ESMP.	Contractor/s to prepare the Contractor's OHS Management Plan prior engaging Project workers and thereafter implement the Plan throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
2.3	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Establish grievance mechanism prior engaging Project workers and thereafter maintain and operate it throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLAN Prepare and implement a Waste Management Plan (WMP), as part of the ESMP prepared for the Project, to manage hazardous and non-hazardous wastes, consistent with ESS3.	Prepare the WMP prior to start of applicable works and thereafter implement the WMP throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and management measures in the ESMP to be prepared under action 1.1 above.	Environmental and social instruments should be cleared before the start of bidding process of correspondent sub-projects. Adopt the environmental and social instruments and thereafter implement them throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action 1.1 above.	Environmental and social instruments should be cleared before the start of bidding process of correspondent sub-projects. Adopt the environmental and social instruments and thereafter implement them throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
4.2	COMMUNITY HEALTH AND SAFETY Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, excavation works, behavior of Project workers, risks of labor influx, response to emergency situations], and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF.	Environmental and social instruments should be cleared before the start of bidding process of correspondent sub-projects. Adopt the environmental and social instruments and thereafter implement them throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
4.3	SEA AND SH RISKS Prepare and implement a SEA/SH Action Plan as part of the ESMF, to assess and manage the risks of SEA and SH.	Prepare and disclose ESMF prior to Project Appraisal and implement it throughout the project implementation period	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
4.4	<p>SECURITY MANAGEMENT</p> <p>Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities as set out in the ESMF and site-specific ESMPs, guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such personnel.</p>	During Preparation of Various ESS documents and during implementation of the ESMPs, and same timeframe as for the adoption and implementation of the ESMPs.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>RESETTLEMENT [FRAMEWORK] [PLAN] or [PLANS]</p> <p>1. Prepare and implement a Resettlement Policy Framework (RPF) for the Project, consistent with ESS5.</p> <p>2. Prepare and implement a Resettlement Plan (RP) or a Livelihood Restoration Plan (LRP) [for each activity under the Project for such RP or LRP is required], as set out in the RPF and consistent with ESS5.</p>	<p>1. Prepare the RPF PF prior to Project Appraisal and thereafter implement the RPF throughout Project implementation.</p> <p>2. Prepare and implement the respective RP or LRP prior to carrying out the relevant works, including ensuring that before taking possession of the land and related assets, full compensation has been provided and [as applicable] displaced people have been resettled and moving allowances have been provided.</p>	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>As may be determined during E&S screening of each subproject, prepare and implement a Biodiversity Management Plan (BMP), either as Stand-alone instrument or as part of the ESMP, in accordance with the ESMF prepared for the Project, and consistent with ESS6.</p>	Prepare the BMP prior to start of applicable works and thereafter implement the BMP throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 8: CULTURAL HERITAGE			
8.1	CULTURAL HERITAGE RISKS AND IMPACTS Cultural heritage risks and impacts shall be covered, per the ESMF requirements, in site-specific ESIAs/ESMPs. In case a cultural heritage resource is potentially affected by a Subproject, a Cultural Heritage Management Plan (CHMP) will be prepared as part of its site-specific ESIA/ESMP in accordance with the guidance provided in the ESMF and in the ESIA TORs acceptable to the Bank, consistent with ESS8.	Cultural heritage management actions will be part of the site-specific ESIA/ESMP and implemented throughout the Sub-project's implementation period.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
8.2	CHANCE FINDS Describe and implement the chance finds procedures, as part of the ESMF of the Project.	Describe the chance find procedures in the ESMF and Implement the procedures throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN Prepare and implement a Stakeholder Engagement [Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Prepare the SEP prior to Project Appraisal and thereafter implement the SEP throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants
10.2	PROJECT GRIEVANCE MECHANISM Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.	Establish the grievance mechanism One Month after Project Effectiveness and thereafter maintain and operate the mechanism throughout Project implementation.	FIPAG DNAAS AURA ARA-Sul Contractors Supervising Consultants

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.		
INDICATORS FOR IMPLEMENTATION READINESS			
The following actions are indicators for implementation readiness:			
INDICATORS FOR IMPLEMENTATION READINESS			
S/N	Indicator		
A.	ORGANIZATIONAL STRUCTURE a) Project Implementation Unit (PIU) within FIPAG set up with qualified staff, Social Specialist recruited, DNAAS PIU maintained, PTUs set up at AURA and ARA-Sul.		
10.2	PROJECT GRIEVANCE MECHANISM The UWSP Project's Grievances Mechanism established, publicized and operational.		